

**COMBINED DECLARATION FOR PATENT APPLICATION
AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**NOVEL POLYPEPTIDES HAVING SEQUENCE SIMILARITY TO CYTOKINE RECEPTORS AND NUCLEIC ACIDS
ENCODING THE SAME**

the specification of which (check one) ☐ is attached hereto or ☒ was filed on September 26, 2001 as Application Serial No. 09/964,994 and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):			Priority Claimed	
			<u>Yes</u>	<u>No</u>
PCT/US00/08439	PCT	March 30, 2000	X	
Number	Country	Day/Month/Year Filed		
PCT/US01/06520	PCT	February 28, 2001	X	
Number	Country	Day/Month/Year Filed		

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:

<u>60/191015</u>	<u>March 21, 2000</u>
Application Ser. No.	Filing Date

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>09/941992</u>	<u>August 28, 2001</u>	<u>Pending</u>
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned

Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
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POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.



09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes

Telephone: (650) 225-4563

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Full name of sole or first inventor

Audrey Goddard

Inventor's signature

Date

19 Nov. 2001

Residence

110 Congo St., San Francisco, CA 94131

Citizenship

CA

Post Office Address

1 DNA Way
South San Francisco, CA 94080

Full name of second joint inventor, if any

Paul J. Godowski

Inventor's signature

Date

11/30/01

Residence

25 Orange Court, Hillsborough, CA 94010

Citizenship

USA

Post Office Address

1 DNA Way
South San Francisco, CA 94080

Full name of third joint inventor, if any

Austin L. Gurney

Inventor's signature

Date

Residence

1 Debbie Lane, Belmont, CA 94002

Citizenship

USA

Post Office Address

1 DNA Way

South San Francisco, CA 94080

Full name of fourth joint inventor, if any

Colin K. Watanabe

Inventor's signature

Date

Residence

128 Corliss Drive, Moraga, CA 94556

Citizenship

USA

Post Office Address

1 DNA Way

South San Francisco, CA 94080

Full name of fifth joint inventor, if any

William I. Wood

Inventor's signature

Date

Residence

35 Southdown Court, Hillsborough, CA 94010

Citizenship

USA

Post Office Address

1 DNA Way

South San Francisco, CA 94080



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JANUARY 02, 2002

PTAS

GENENTECH, INC.
ELIZABETH M. BARNES
553 CHERRY AVENUE
SAN BRUNO, CA 94066

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/27/2001

REEL/FRAME: 012260/0275
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GODDARD, AUDREY

DOC DATE: 11/19/2001

ASSIGNOR:

GODOWSKI, PAUL J.

DOC DATE: 11/30/2001

ASSIGNOR:

GURNEY, AUSTIN L.

DOC DATE: 11/30/2001

ASSIGNOR:

WATANABE, COLIN K.

DOC DATE: 12/03/2001

ASSIGNOR:

WOOD, WILLIAM I.

DOC DATE: 11/30/2001

ASSIGNEE:

GENENTECH, INC.
1 DNA WAY
SOUTH SAN FRANCISCO, CALIFORNIA

94080-4990

012260/0275 PAGE 2

SERIAL NUMBER: 09964994
PATENT NUMBER:

FILING DATE: 09/26/2001
ISSUE DATE:

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

12/27/2001

+6509529882

T-224

P.02/04

F-285

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U.S. DEPARTMENT OF COMMERCE

RECORDATION FORM COVER SHEET
PATENTS ONLY

Patent and Trademark Office

Any Dkt No. P3121R1

Assistant Commissioner of Patents Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Audrey Goddard; Paul J. Godowski; Austin L. Gurney;
Colin K. Watanabe; and William I. WoodAdditional name(s) of conveying party(ies) attached? _ Yes ☒ No

2. Name and address of receiving party(ies):

Name: GENENTECH, INC.

Internal Address:

Street Address: 1 DNA WayCity: South San Francisco State: CaliforniaZIP: 94080-4990Additional name(s) & address(es) attached? _ Yes ☒ No

3. Nature of conveyance:

☒ AssignmentExecution Date: 11/19/2001; 11/30/2001; 11/30/2001; 12/03/2001and 11/30/2001, respectively.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

09/964,994

B. Patent No.(s)

Additional numbers attached? _ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Elizabeth M. Barnes, Ph.D.



09157

PATENT TRADEMARK OFFICE

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00

- Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number.

07-0630

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Elizabeth M. Barnes, Ph.D.
Name of Person Signing
Registration No. 35,059Elizabeth M. Barnes
Signature12-26-01
DateTotal number of pages comprising cover sheet, attachments and document: 4

ASSIGNMENT

WHEREAS,

Audrey Goddard, a citizen of CA, residing at 110 Congo Street, San Francisco, CA 94131, and Paul J. Godowski, a citizen of US, residing at 25 Orange Court, Hillsborough, CA 94010, and Austin L. Gurney, a citizen of US, residing at 1 Debbie Lane, Belmont, CA 94002, and Colin K. Watanabe, a citizen of US, residing at 128 Corliss Drive, Moraga, CA 94556, and William I. Wood, a citizen of US, residing at 35 Southdown Court, Hillsborough, CA 94010 (hereinafter "ASSIGNORS"), have invented a new and useful invention in

NOVEL POLYPEPTIDES HAVING SEQUENCE SIMILARITY TO CYTOKINE RECEPTORS AND NUCLEIC ACIDS ENCODING THE SAME

for which an application Serial No. 09/964,994 (Docket No. P3121R1) for Letters Patent has been filed by them on September 26, 2001; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said ASSIGNORS covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said ASSIGNORS includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said ASSIGNORS in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

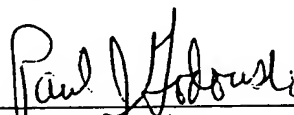
South San Francisco

Dated: 19 Nov 2001


Audrey Goddard


South San Francisco

Dated: 11/30/01


Paul J. Godowski

South San Francisco

Dated: 11/30/01


Austin L. Gurney

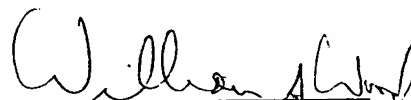
South San Francisco

Dated: 12/3/01


Colin K. Watanabe

South San Francisco

Dated: 11/30/01


William I. Wood